



Harbor Account Services

Questions?

Call 800-422-1050

To obtain additional forms or to complete this form online, visit us at www.harborfunds.com.

Use this form to make changes and/or add options to your existing Harbor Funds account. Please print in capital letters and in black ink.

Step 1 Account Registration *Required*

Name

Account Number

Daytime Telephone Number

Evening Telephone Number

Joint Owner's Name - *If any*

Step 2 Address Change or Correction

There will be a 10 business day hold on all redemption checks after an address of record has been changed, unless accompanied by a **Medallion Signature Guarantee** in Step 8.

Provide correct address below:

Street Address or P.O. Box Number

City

State

Zip Code

E-mail Address

If you are changing your address to a P.O. Box number, provide your street address below:

Street Address

City

State

Zip Code

Step 3 Distribution Options

If no selection is made, all distributions (dividends and capital gains) will be reinvested in the same Fund. >

If you are investing in several Funds and would like different options for each Fund, please provide your instructions on a separate sheet.

Dividends (select only one):

Reinvestment Options:

- Reinvest in the same Fund
- Reinvest from to
Fund Number Fund Number
(Both must be pre-established)

Payment Options:

- Direct deposit - Complete the Electronic Transfer portion of **Step 6**.
- Send by check
- Send by wire - Complete the wire portion of **Step 6**.

or

Capital Gains (select only one):

Reinvestment Options:

- Reinvest in the same Fund
- Reinvest from to
Fund Number Fund Number
(Both must be pre-established)

Payment Options:

- Direct deposit - Complete the Electronic Transfer portion of **Step 6**.
- Send by check
- Send by wire - Complete the wire portion of **Step 6**.

or

Step 4 Second Party Mailing

Transactions by the second party will not be permitted on this account. >

I would like duplicate statements/confirmations mailed to:

First Name M.I. Last Name

Name of Company - If applicable

Street Address

City State Zip Code

- I have included the necessary information for **additional second parties** on a separate sheet, in the same format.

Step 5 Name Change Notification

Use this form to notify us of your name change due to marriage, divorce or other personal reasons. To change account ownership, call the Shareholder Services Agent at 1-800-422-1050. >

Include a copy of the marriage certificate or court documentation granting your legal name change.

Provide correct name below:

Signature of Former Name Print Former Name

Signature of Current Name Print Current Name

Step 6 Banking Information

There will be a 10 business day hold on the use of this information for redemptions once it has been added or replaced, unless accompanied by a **Medallion Signature Guarantee** in **Step 8**.

Complete this Step if you wish to add wire instructions on your account. >

Wire (for redemptions only):

Add new instructions Replace instructions already on file

ABA Routing Number (9 digits) Bank Account Number

Bank Name

Name(s) on Bank Account

For further credit to (if applicable):

Bank Account Number Name(s) on Bank Account

Please note: Your bank must be a member of the Federal Reserve System and may have very specific wire instructions. Confirm with your bank before completing this application.

Complete this Step if you wish to add electronic transfer instructions to your account. >

Electronic Transfer (for purchases, redemptions, distributions and Automatic Investment Plans):

Add new instructions Replace instructions already on file

ABA Routing Number (9 digits) Bank Account Number

Bank Name

Checking Savings
 Name(s) on Bank Account Account Type (Check one):

Please note: Your banking institution must be a member of the Automated Clearing House (ACH) network and may have very specific instructions. Confirm with your banking institution before completing this form.

If you selected account type **Checking** for electronic transfer, a voided check must be **taped** to the space provided. Otherwise, there could be a delay in setting up these instructions. >

John Shareholder 85064
 Mary Shareholder
 123 Main St.
 Anywhere, USA 12345

Pay to the order of _____ \$ _____
VOID _____
DOLLARS

MEMO _____ SIGNED _____

|| 123456789 || || 12345678985064321 ||

ABA Routing Number Your Bank Account Number

Step 7

Add a Security Question *Applicable to Individual, Joint, UGMA/UTMA, & Estates*

For an additional level of security, you may add a security question and answer on your account.

Once established, you must answer this question before a Shareholder Services Agent will discuss any information regarding your account.

This is separate from your automated telephone and internet transactions password.



Choose either A, B, C or D:

A. What is your mother's maiden name?

Answer

B. What is your father's middle name?

Answer

C. In what city were you born?

Answer

D.
Create your own question

Answer

Step 8

Signature & Certification

Sign Below:

Signature to this form by the registered shareholder(s) constitutes complete authority to allow Harbor Funds, its affiliates and agents to act on any instructions believed to be genuine for any service authorized on this form. The shareholder(s) agree(s) to indemnify Harbor Funds, its affiliates and agents from and against, any and all losses, damages, costs, charges, counsel fees, payments, expenses and liabilities arising out of, or attributable to honoring these instructions.

Owner(s)/Authorized Person(s) Sign Below:



Signature of Owner

Date (mm/dd/yyyy)



Signature of Joint Owner - *If any*

Date (mm/dd/yyyy)

Medallion Signature Guarantee Stamp - If applicable

Mail completed form to: >

Standard Mail
Harbor Funds
P.O. Box 804660
Chicago, IL 60680-4108

Overnight Delivery
Harbor Funds
111 South Wacker Drive, 34th Floor
Chicago, IL 60606-4302