

# Harbor Additional Investments



## Questions?

Call 800-422-1050

To obtain additional forms or to complete this form online, visit us at [www.harborfunds.com](http://www.harborfunds.com).

Use this form to indicate investment selections when investing by check. Please print in CAPITAL LETTERS and in black ink.

## Step 1 Account Owner Information

	M.I.	
First Name		Last Name
Account Number		

## Step 2 Select Your Investments

I acknowledge that I have read the Prospectus and the Harbor Funds Privacy Statement.

For Fund numbers, Fund names, and minimum initial investment amounts, please see the **Fund List** provided. Provide additional investments on a separate sheet, if needed.

Fund Name:	Number:	Amount:
	\$	\$
	\$	\$
	\$	\$
	\$	\$
	\$	\$
	\$	\$
TOTAL		\$

See **Prospectus** for eligibility requirements.

### Required for investment in Administrative Class Shares:

I hereby certify that this investment is for an employer sponsored qualified retirement plan or account maintained by a financial intermediary.

Step 2 continued on page 2

# Step

# 2

## Select Your Investments

Continued

Year of contribution desired > must be indicated or current year will be assumed.

### For IRA Investing only (one of the following must be selected):

**IRA Contribution for Tax Year**   
Tax Year (yyyy)

**IRA Rollover** - If my investment is a rollover, I acknowledge that I am making an irrevocable election to treat this deposit as a rollover contribution. I understand that I have the responsibility to determine what part, if any, of my distribution is eligible for such treatment.

### Mail completed form and check to:

Make check payable to "Harbor Funds"

#### Standard Mail

Harbor Funds  
P.O. Box 804660  
Chicago, IL 60680-4108

#### Overnight Delivery

Harbor Funds  
111 South Wacker Drive, 34th Floor  
Chicago, IL 60606-4302

# Step

# 3

## Signature & Certification

### Sign below:

#### I certify under penalty of perjury, that:

- 1. I am a U.S. person (including a U.S. resident alien), and
- 2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding.

I have been notified by the IRS that I am currently subject to backup withholding.

### Owner(s)/Authorized Person(s) Sign Below:

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

#### Mail completed form to:

**Standard Mail**  
Harbor Funds  
P.O. Box 804660  
Chicago, IL 60680-4108

**Overnight Delivery**  
Harbor Funds  
111 South Wacker Drive, 34th Floor  
Chicago, IL 60606-4302



Signature of Account Owner

Date (mm/dd/yyyy)



Signature of Joint Account Owner

Date (mm/dd/yyyy)



Signature of Parent or Guardian

Date (mm/dd/yyyy)



# Harbor Fund List

The International, International Growth, Global Value, Global Growth, Commodity Real Return Strategy, High-Yield Bond, Flexible Capital, Convertible Securities and Emerging Markets Debt funds may be subject to a redemption fee.

Please refer to the most current prospectus or summary prospectus for the minimum initial investment requirements.

**Investors should carefully consider the Fund's investment objectives, risks, charges and expenses before investing. To obtain a summary prospectus or prospectus for this and other information about the Fund, visit [harborfunds.com](http://harborfunds.com) or call 800-422-1050. Read it carefully before investing.**

Domestic Equity	Fund Number	Stock Ticker
<b>Capital Appreciation Fund</b>		
Institutional Class	2012	HACAX
Administrative Class*	2212	HRCAX
Investor Class	2412	HCAIX
<b>Mid Cap Growth Fund</b>		
Institutional Class	2019	HAMGX
Administrative Class*	2219	HRMGX
Investor Class	2419	HIMGX
<b>Small Cap Growth Fund - closed to new investors</b>		
Institutional Class	2010	HASGX
Administrative Class*	2210	HRSGX
Investor Class	2410	HISGX
<b>Large Cap Value Fund</b>		
Institutional Class	2013	HAVLX
Administrative Class*	2213	HRLVX
Investor Class	2413	HILVX
<b>Mid Cap Value Fund</b>		
Institutional Class	2023	HAMVX
Administrative Class*	2223	HRMVX
Investor Class	2423	HIMVX
<b>Small Cap Value Fund</b>		
Institutional Class	2022	HASCX
Administrative Class*	2222	HSVRX
Investor Class	2422	HISVX

International & Global	Fund Number	Stock Ticker
<b>International Fund</b>		
Institutional Class	2011	HAINX
Administrative Class*	2211	HRINX
Investor Class	2411	HIINX
<b>International Growth Fund</b>		
Institutional Class	2017	HAIGX
Administrative Class*	2217	HRIGX
Investor Class	2417	HIIGX
<b>Global Value Fund</b>		
Institutional Class	2027	HAGVX
Administrative Class*	2227	HRGVX
Investor Class	2427	HIGVX
<b>Global Growth Fund</b>		
Institutional Class	2030	HGGAX
Administrative Class*	2230	HRGAX
Investor Class	2430	HGGIX

Strategic Markets	Fund Number	Stock Ticker
<b>Commodity Real Return Strategy Fund</b>		
Institutional Class	2029	HACMX
Administrative Class*	2229	HCMRX
<b>Unconstrained Bond Fund</b>		
Institutional Class	2032	HAUBX
Administrative Class*	2232	HRUBX
<b>Flexible Capital Fund</b>		
Institutional Class	2033	HAFLX
Administrative Class*	2233	HRFLX
Investor Class	2433	HIFLX

Fixed Income	Fund Number	Stock Ticker
<b>Convertible Securities Fund</b>		
Institutional Class	2034	HACSX
Administrative Class*	2234	HRCSX
Investor Class	2434	HICSX
<b>Emerging Markets Debt Fund</b>		
Institutional Class	2035	HAEDX
Administrative Class*	2235	HREDX
<b>High-Yield Bond Fund</b>		
Institutional Class	2024	HYFAX
Administrative Class*	2224	HYFRX
Investor Class	2424	HYFIX
<b>Bond Fund</b>		
Institutional Class	2014	HABDX
Administrative Class*	2214	HRBDX
<b>Real Return Fund</b>		
Institutional Class	2025	HARRX
Administrative Class*	2225	HRRRX
<b>Money Market Fund**</b>		
Institutional Class	2015	HARXX
Administrative Class*	2215	HRMXX

\* Administrative Class Funds are not available for Individual Retirement Account types.

\*\*An investment in the Harbor Money Market Fund is not guaranteed by the FDIC or any other government agency. Although the Fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the Fund.