

Harbor Automatic Transactions



Questions?

Call 800-422-1050

To obtain additional forms or to complete this form online, visit us at www.harborfunds.com.

Use this form to make changes and/or add automatic purchase, automatic exchange or redemption options to your existing Harbor Funds account. Please print in CAPITAL LETTERS and in black ink.

Step 1 Account Registration *Required*

<input type="text"/>		
Name		
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Number	Daytime Telephone Number	Evening Telephone Number
<input type="text"/>		
Joint Owner's Name - <i>If any</i>		

Step 2 Automatic Investment Plan

Complete this Step if you wish to schedule automatic investments into your Harbor account using the electronic transfer banking instructions provided in **Step 5**.

Fund Name:	Number:	Amount Per Investment:
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>

Automatic investments will begin as close to the date requested as possible.

If you do not specify a date for your automatic investments, Harbor will default to the **15th day** of the month for the frequency selected.

Transfer Schedule:	
<input type="checkbox"/> Monthly	<input type="checkbox"/> Every other month
<input type="checkbox"/> Quarterly	<input type="checkbox"/> Annually
<input type="text"/>	<input type="text"/>
Starting Month	Day of the Month

Step 3 Automatic Exchange Plan

- Add new instructions Replace instructions already on file

Automatic exchanges will begin as close to the date requested as possible. If date is not specified, the exchange will be made on the 5th day of the month, or the first business day thereafter.

Please make the following Automatic Exchange:

Exchange Amount:

\$
Amount

Frequency:

- Monthly
 Every other month
 Quarterly
 Annually

On the day of the month beginning
Date (mm/yyyy)

From:

Account Number Fund Name Number

To:

Account Number Fund Name Number

Step 4 Automatic Withdrawal Plan

- Add new instructions Replace instructions already on file

Complete this Step if you wish to schedule automatic withdrawals out of your Harbor account using the electronic transfer banking instructions provided in Step 5.

Automatic withdrawals will begin as close to the date requested as possible.

If you do not specify a date for your automatic withdrawals, Harbor will default to the **15th day** of the month for the frequency selected.

Fund Name:

Number:

Amount Per Withdrawal:

<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>

Transfer Schedule:

- Monthly Every other month Quarterly Annually

Starting Month Day of the Month

Payable to:

- Shareholder and send to address of record
 Shareholder via Electronic Transfer instructions
 Shareholder via Wire instructions
 Deposit into my Harbor Funds non-retirement account:

Account Number Fund Name Number

- Designated Payee:

First Name M.I. Last Name

Street Address

City State Zip Code

Completion of the Wire/Electronic Transfer section of Step 5 is required.

Medallion Signature Guarantee in Step 6 is required.

Step 5 Banking Information

There will be a 10 business day hold on the use of this information for redemptions once it has been added or replaced, unless accompanied by a **Medallion Signature Guarantee** in **Step 6**.

Complete this Step if you wish to add wire instructions on your account. >

Wire (for redemptions only):

Add new instructions Replace instructions already on file

ABA Routing Number (9 digits) Bank Account Number

Bank Name

Name(s) on Bank Account

For further credit to (if applicable):

Bank Account Number Name(s) on Bank Account

Please note: Your bank must be a member of the Federal Reserve System and may have very specific wire instructions. Confirm with your bank before completing this application.

Complete this Step if you wish to add electronic transfer instructions to your account. >

Electronic Transfer (for purchases, redemptions, distributions and Automatic Investment Plans):

Add new instructions Replace instructions already on file

ABA Routing Number (9 digits) Bank Account Number

Bank Name

Checking Savings
 Name(s) on Bank Account Account Type (Check one):

Please note: Your banking institution must be a member of the Automated Clearing House (ACH) network and may have very specific instructions. Confirm with your banking institution before completing this form.

If you selected account type **Checking** for electronic transfer, a voided check must be **taped** to the space provided. Otherwise, there could be a delay in setting up these instructions. >

John Shareholder Mary Shareholder 123 Main St. Anywhere, USA 12345	85064
VOID	
Pay to the order of _____	\$ _____
DOLLARS	
MEMO _____	SIGNED _____
123456789	12345678985064321
ABA Routing Number	Your Bank Account Number

Step 6

Signature & Certification

By signing this form, I understand that:

- ✓ I must already have a minimum initial investment in the Fund(s) into which I will be making automatic investments/exchanges.
- ✓ The automatic transaction will begin as close to the date requested as possible. Scheduled transactions that fall on a weekend or holiday will be executed on the next business day.
- ✓ For IRAs (if applicable) all investments will be current year contributions.
- ✓ Any changes to the bank/wire information must be made in writing.
- ✓ Harbor Funds will not be responsible for any bank rejection due to invalid electronic transfer information.
- ✓ I have received, read and agree to the terms of the current prospectus for each Fund in which I am investing/exchanging.

Owner(s)/Authorized Person(s) Sign Below:



Signature of Owner

Date (mm/dd/yyyy)



Signature of Joing Owner - *If any*

Date (mm/dd/yyyy)

Medallion Signature Guarantee Stamp - *If applicable*

Mail completed form to: >

Standard Mail

Harbor Funds
P.O. Box 804660
Chicago, IL 60680-4108

Overnight Delivery

Harbor Funds
111 South Wacker Drive, 34th Floor
Chicago, IL 60606-4302